

Bryan Funding Inc.

Privacy Notice Policy

Notice of Privacy Policy and Practices: Please read our Privacy Policy carefully. It has information about our policies for the collection, use, disclosure, and protection of information we collect and maintain.

Bryan Funding Inc. recognizes and respects the privacy concerns and expectations of our customers. We provide this notice to you so that you will know what kinds of information we collect about our customers and the circumstances in which that information may be disclosed to third parties who are not affiliated with Bryan Funding Inc.

Collection of Customer Information: We collect nonpublic personal information about our customers from the following sources:

- *Account Applications and other forms*, which may include a customer's name, address, social security number, and information about a customer's investment goals and risk tolerance;
- *Account History*, including information about the transactions and balances in a customer's accounts; and
- *Correspondence*, written, telephonic or electronic between a customer and Bryan Funding Inc. or service providers to Bryan Funding Inc.

Disclosure of Customer Information: We may disclose all of the information described above to certain third parties who are not affiliated with the Bryan Funding Inc. under one or more of these circumstances:

- *As Authorized* - if you request or authorize the disclosure of the information.
- *As Permitted by or required Law* - for example, sharing information with companies who maintain or service customer accounts for Bryan Funding Inc. is permitted and is essential for us to provide investors with necessary or useful services with respect to their accounts.
- *Under Joint Agreements* - we may also share information with companies that perform marketing services on our behalf or to other financial institutions with whom we have joint marketing agreements.

Security, Safeguarding and Destruction of Customer Information and Reports: We require service providers to Bryan Funding Inc.:

- To maintain policies and procedures designed to assure only appropriate access to, and use of information about customers of, Bryan Funding Inc.;
- To maintain physical, electronic and procedural safeguards that comply with federal standards to guard nonpublic personal information of customers of Bryan Funding Inc.;
- To maintain physical, electronic and procedural safeguards for the proper disposal of consumer report information, as defined in Rule 30(b)(1)(ii) of Regulation S-P.

We will adhere to the policies and practices described in this notice regardless of whether you are a current or former client of Bryan Funding Inc. We also have established a Business Continuity Plan to safeguard your information in a emergency situations. A copy of this plan is available upon request.